

# ON THE ORDER OF GROUPS OF AUTOMORPHISMS\*

BY

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1. **Introduction.** Consider the following problem. Let  $G$  be any group of finite order  $g$ , and let  $A$  denote the group of the automorphisms of  $G$ . What can one infer about the order  $a$  of  $A$ , simply from a knowledge of  $g$ : in other words, to what extent is  $a$  a numerical function of  $g$ ?

The main known result relating to this problem is due to Frobenius.† It limits the orders of the individual elements of  $A$  in terms of  $g$ , and hence tells which primes can be divisors of  $a$ .

The present paper is independent of the work of Frobenius, and presupposes only the theorems of Lagrange and Sylow. Its main result is the following

**THEOREM 1.** *Let  $G$  be any group of finite order  $g$ . Let  $\theta(g)$  denote the order of the group of the automorphisms of the elementary Abelian group of order  $g$ , and let  $r$  denote the number of distinct prime factors of  $g$ . Then the order  $a$  of the group  $A$  of the automorphisms of  $G$  is a divisor of  $g^{r-1}\theta(g)$ .*

The function  $\theta(g)$  is computed numerically from  $g$  as follows. Write  $g$  as the product  $p_1^{n_1}p_2^{n_2} \cdots p_r^{n_r}$  of powers  $p_k^{n_k}$  of distinct primes. Then

$$\begin{aligned}\theta(p_k^{n_k}) &= (p_k^{n_k} - 1)(p_k^{n_k} - p) \cdots (p_k^{n_k} - p_k^{n_k-1}) \\ &= p_k^{n_k(n_k-1)/2} \cdot (p_k - 1)(p_k^2 - 1) \cdots (p_k^{n_k} - 1)\end{aligned}$$

and

$$\theta(g) = \theta(p_1^{n_1})\theta(p_2^{n_2}) \cdots \theta(p_r^{n_r}).$$

For example,  $\theta(12) = \theta(3)\theta(4) = 2 \cdot (3 \cdot 2) = 12$ .

One can strengthen Theorem 1 in special cases, by

**THEOREM 2.** *If  $G$  is solvable, then  $a$  is a divisor of  $g\theta(g)$ .*

**THEOREM 3.** *If  $G$  is "hypercentral," that is, the direct product of its Sylow subgroups, then  $a$  is a divisor of  $\theta(g)$ .*

2. **Preliminary lemmas.** The following two statements are immediate corollaries of Lagrange's and Sylow's Theorems, respectively:

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† *Über auflösbare Gruppen*, II, Berliner Sitzungsberichte, 1895, p. 1030. Cf. Burnside's *Theory of Groups*, 1st edition, pp. 250-252.

LEMMA 1. *Let  $H$  be any group whose elements induce automorphisms homomorphically (i.e., many-one isomorphically) on a second group  $G$ . Then the index in  $H$  of the subgroup "centralizing"  $G$  (i.e., leaving every element of  $G$  invariant) divides the order of the group of the automorphisms of  $G$ .*

LEMMA 2. *Let  $G$  be any group, and  $r$  any positive integer. If the order of every prime-power subgroup of  $G$  divides  $r$ , then the order of  $G$  divides  $r$ .*

As a further preliminary step, it is well to verify the somewhat less obvious

LEMMA 3. *Let  $P$  be any group of prime-power order  $p^n$ , inducing substitutions homomorphically on  $r = p^\alpha q$  letters  $x_1, \dots, x_r$  [ $p^\alpha$  the highest power of  $p$  dividing  $r$ ]. Then there is a letter  $x_k$  such that, if  $S$  denotes the subgroup of substitutions of  $P$  which omit  $x_k$ , the index of  $S$  in  $P$  divides  $r$ .*

Let  $S_i$  denote that subgroup of  $P$  whose substitutions omit the letter  $x_i$ ; by Lagrange's Theorem, the index of  $S_i$  in  $P$  is a power  $p^{\beta(i)}$  of  $p$ . Hence the transitive system including  $x_i$  contains exactly  $p^{\beta(i)}$  letters. But the sum of the numbers of letters in the different transitive systems is not a multiple of  $p^{\alpha+1}$ ; hence  $\beta(i) \leq \alpha$  for some  $i = i_0$ . Setting  $S_i = S_{i_0}$ , we have Lemma 3.

LEMMA 4.† *Let  $G$  be any group of prime-power order  $p^n$ . Then the order  $a$  of the group  $A$  of the automorphisms of  $G$  divides  $\theta(p^n) = (p^n - 1)(p^n - p) \cdots (p^n - p^{n-1})$ .*

By Lemma 2, it is sufficient to prove the result for every subgroup  $Q$  of  $A$  of prime-power order  $q^m$ . But given  $Q$ , one can define  $Q_1 > Q_2 > Q_3 > \cdots > Q_r = 1$  and  $S_1 < S_2 < S_3 < \cdots < S_r = G$  recursively as follows:

- (1)  $Q_1$  is the group  $Q$ .
- (2) Given  $Q_k$ ,  $S_k$  is the subgroup of the elements of  $G$  "centralized" by  $Q_k$  (i.e., invariant under every automorphism of  $Q_k$ ).
- (3) Given  $Q_k$  and  $S_k$ ,  $Q_{k+1}$  is a proper subgroup of  $Q_k$  whose index in  $Q_k$  divides the number of elements in  $G - S_k$ .

The only questionable point in the existence of these subgroups concerns the possibility of (3); this is ensured by Lemma 3.

Moreover multiplying together on one side the indices of the  $Q_{k+1}$  in the  $Q_k$ , and on the other their multiples, the degrees of the  $G - S_k$ , one sees that  $q^m$  divides the product of those factors  $(p^n - p^i)$  corresponding to the orders

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† A more delicate result implying this, but presupposing a study of the structure of groups of prime-power order, is given by P. Hall in *A contribution to the theory of groups of prime-power order*, Proceedings of the London Mathematical Society, vol. 36 (1933), p. 37.

of complexes  $G-S_k$ . Hence a fortiori  $q^m$  divides  $\theta(p^n)$ , and the lemma is proved.

3. **Proof of principal theorem.** We are now in a position to prove Theorem 1.

Accordingly, let  $G$  be any group of finite order  $g$ , let  $g = p_1^{n_1} \cdots p_r^{n_r}$ , let  $\theta(g)$  denote the order of the group of the automorphisms of the elementary Abelian group of order  $g$ , and let  $A$  (of order  $a$ ) denote the group of the automorphisms of  $G$ .

By Sylow's Theorem,  $G$  contains subgroups  $S_j^i$  of orders  $p_i^{n_i}$  [ $i=1, \dots, r$ ;  $j=1, \dots, s_i$ ]. By Sylow's Theorem also,†  $s_i$  is the index in  $G$  of the "normalizer" of any  $S_j^i$  (i.e., the set of elements  $a \in G$  such that  $aS_j^i = S_j^i a$ ); hence, by Lagrange's Theorem and the fact that  $S_j^i$  is contained in its own normalizer,  $s_i$  divides  $g/p_i^{n_i}$ .

Again, the automorphisms of  $G$  obviously permute the  $S_j^i$  of given order  $p_i^{n_i}$  homomorphically. Therefore, by iterated use of Lemma 3, any subgroup  $Q$  of  $A$  of prime-power order  $q^m$  contains a subgroup  $Q_1$  whose index in  $Q$  divides the product  $\prod_{i=1}^r (g/p_i^{n_i}) = g^{r-1}$ , and which normalizes at least one  $S_{j(i)}^i$  of each order  $p_i^{n_i}$ . But by Lemma 1 and iterated use of Lemma 4,  $Q_1$  has a subgroup  $Q^*$  whose index in  $Q_1$  divides  $\theta(g)$ , and which "centralizes"  $S_{j(1)}^1, \dots, S_{j(r)}^r$  [i.e., leaves every element of these subgroups of  $G$  invariant]. But the  $S_{j(i)}^i$  generate  $G$ ; hence  $Q^*$  contains only the identity, and  $q^m$  divides  $g^{r-1}\theta(g)$ .

Theorem 1 now follows from Lemma 2 and the fact that  $Q$  was permitted to be an arbitrary group of prime-power order.

4. **Special cases of solvable and hypercentral groups.** The proofs of Theorems 2-3 are now immediate.

In fact, Theorem 3 is really a corollary of Lemma 4. For the Sylow subgroups of a hypercentral group are characteristic. Denoting them by  $S_1, \dots, S_r$ , one sees immediately that the group of the automorphisms of  $G$  is the direct product of the groups of the automorphisms of the  $S_k$ , making the theorem obvious.

To prove Theorem 2, suppose that  $G$  is solvable, and use the stronger known result,‡ analogous to Sylow's Theorem, that  $G$  contains subgroups of every index  $p_k^{n_k}$ . Now in the proof of Theorem 1 presented in §3, if  $q$  does not divide  $g$ , it is numerically evident that  $q^m$  divides  $\theta(g)$ . Hence, by Lemma 2, it is sufficient to show that if  $q$  divides  $g$ , then  $q^m$  divides  $g\theta(g)$ .

† More particularly, the part that states that the inner automorphisms of  $G$  are transitive on the Sylow subgroups of any fixed order.

‡ Cf. P. Hall, *A note on soluble groups*, Journal of the London Mathematical Society, vol. 3 (1928), p. 99.

But to say that  $q$  divides  $g$  is evidently to say that  $q = p_k$  for suitable  $k$ ; without loss of generality, we can assume  $k = 1$ . In this case  $Q$  normalizes some Sylow subgroup  $S$  of  $G$  of order  $p_1^{n_1}$ ; this follows from Lemma 3 and the fact that the number of Sylow subgroups of order  $p_1^{n_1}$ , being a divisor of  $p_2^{n_2} \cdots p_r^{n_r}$ , is not divisible by  $q$ . Moreover  $Q$  has a subgroup  $Q_1$  whose index in  $Q$  divides  $q^{n_1}$  [and hence  $g$ ] which "normalizes" (i.e., leaves invariant) a subgroup  $H$  of order  $p_2^{n_2} \cdots p_r^{n_r}$  (and index  $p_1^{n_1}$ ) in  $G$ ; this follows from Lemma 3 and the fact that by Hall's Theorem cited above, the number of such subgroups  $H$  is a divisor of  $p_1^{n_1}$ .

Finally, by Lemmas 1 and 4, the index in  $Q_1$  of the subgroup  $Q_2$  "centralizing"  $S$  divides  $\theta(q^{n_1})$ . And by induction on  $g$ , the index in  $Q_2$  of the subgroup  $Q^*$  "centralizing"  $H$  divides  $(p_2^{n_2} \cdots p_r^{n_r}) \cdot \theta(p_2^{n_2} \cdots p_r^{n_r})$ , or, since it is by Lagrange's Theorem a power of  $q = p_1$  and relatively prime to  $p_2^{n_2} \cdots p_r^{n_r}$ , it divides  $\theta(p_2^{n_2} \cdots p_r^{n_r})$ . But  $S$  and  $H$ , if only by Lagrange's Theorem, generate  $G$ ; hence  $Q^* = 1$ . Combining, one sees that if  $q$  divides  $g$ , then  $q^m$  divides  $g\theta(p_1^{n_1})\theta(p_2^{n_2} \cdots p_r^{n_r})$ , that is,  $g\theta(g)$ . But this is just what we wished to prove.

5. Possible improvement of results. It is natural to ask what likelihood there is of improving the results expressed in Theorems 1-3.

It is well known that the least upper bound to the possible values of  $a$  for fixed  $g$  is at least  $\theta(g)$ ; this is shown by the elementary Abelian group of order  $g$ . Consequently Theorem 3 is a best possible result. Moreover in general  $\theta(g)$  is not a common multiple for the possible values of  $a$ , as is shown by the dihedral group of order six and many other groups of similar structure.

On the other hand, there is no known example of a group for which  $a$  fails to divide  $g\theta(g)$ ; this suggests the possibility of replacing  $g^{r-1}\theta(g)$  in Theorem 1 by  $g\theta(g)$ , and omitting Theorem 2 altogether.

This leaves the determination of lower bounds and common divisors of  $a$  in terms of  $g$  unattempted. The cyclic groups of order  $g$  should throw considerable light on this more trivial question.

Also, the case in which  $G$  is simple would probably repay study.

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